

Annexure -B Investor Complaints Report

(Jainam Broking Limited Membership No. NSE - 12169)

Data for month of September, 2026

SN	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved	Pending at the end of month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	16	0	2	14	2	0	13
3	Depositories	0	0	0	0	0		0
4	Other Sources - Smart ODR	1	0	1	0	0	1	0
5	Grand Total	17	0	3	14	2	1	13

Trend of monthly disposal of complaints

S. No.	Month	Carried Forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	October 2025	30	5	28	7
2	November 2025	7	5	5	7
3	December 2025	7	10	6	11
4	January 2026	11	17	20	8
5	February 2026	8	19	9	18
6	March 2026	18	11	12	17
7	April 2026	17	12	9	20
8	May 2026	20	18	18	20
9	June 2026	20	26	4	42
10	July 2026	42	11	27	26
11	August 2026	26	19	27	18
12	September 2026	17	0	14	3

Trend of annual disposal of complaints

S.N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	1	10	10	1
2	2022 - 23	1	41	42	0
3	2023- 24	0	14	14	0
4	2024- 25	0	32	29	3
5	2025- 26	3	124	110	17
6	2026- 27	17	86	99	3
	Grand Total	22	307	304	25

Note : Trend of annual disposal of complaints 2026-27 includes the complaint details upto September-2026

Annexure -B Investor Complaints Report

(Jainam Broking Limited : Membership No. BSE - 2001)

Data for the month of September, 2026

SN	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved	Pending at the end of month		Average Resolution time (in-days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors via mail	0	0	0	0	0		0
2	SEBI (SCORES)	4	1	1	4	1	0	11
3	Depositories	0	0	0	0	0		0
4	Other Sources-Smart ODR	0	0	0	0	0		0
5	Grand Total	4	1	1	4	1	0	11

Trend of monthly disposal of complaints

S. No.	Month	Carried Forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	October 2025	5	0	5	0
2	November 2025	0	0	0	0
3	December 2025	0	6	0	6
4	January 2026	6	1	7	0
5	February 2026	0	1	0	1
6	March 2026	1	1	0	2
7	April 2026	0	4	0	4
8	May 2026	4	2	4	2
9	June 2026	2	18	0	20
10	July 2026	20	12	20	12
11	August 2026	12	5	13	4
12	September 2026	4	1	4	1

Trend of annual disposal of complaints

S.N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	1	1	0
2	2022 - 23	0	3	1	2
3	2023- 24	2	5	7	0
4	2024- 25	0	37	36	1
5	2025- 26	1	17	18	0
6	2026- 27	0	42	41	1
	Grand Total	3	105	104	4

Note : Trend of annual disposal of complaints 2026-27 includes the complaint details upto September-2026

Annexure -B Investor Complaints Report

(Jainam Broking Limited : Membership No. MCX - 56670)

Data for month of September, 2026

SN	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved	Pending at the end of Month		Average Resolution time (in-days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0		0
4	Other Sources (Smart ODR)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

S. No.	Month	Carried Forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	October 2025	0	0	0	0
2	November 2025	0	1	0	1
3	December 2025	1	1	2	0
4	January 2026	0	0	0	0
5	February 2026	0	0	0	0
6	March 2026	0	0	0	0
7	April 2026	0	5	0	5
8	May 2026	5	1	5	1
9	June 2026	1	1	1	1
10	July 2026	1	2	2	1
11	August 2026	1	0	1	0
12	September 2026	0	0	0	0

Trend of annual disposal of complaints

S.N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	0	0	0
2	2022 - 23	0	0	0	0
3	2023 - 24	0	1	1	0
4	2024 - 25	0	0	0	0
5	2025 - 26	0	4	4	0
6	2026 - 27	0	9	9	0
	Grand Total	0	12	12	0

Note : Trend of annual disposal of complaints 2026-27 includes the complaint details upto September-2026

Annexure -B Investor Complaints Report
(Jainam Broking Limited Membership No. NCDEX - 01297)

Data for the month of September, 2026

SN	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved	Pending at the end of month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Depositories	0	0	0	0	0		0
4	Other Sources	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0		0

Trend of monthly disposal of complaints

S. No.	Month	Carried Forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	October 2025	0	0	0	0
2	November 2025	0	5	5	0
3	December 2025	0	0	0	0
4	January 2026	0	0	0	0
5	February 2026	0	0	0	0
6	March 2026	0	0	0	0
7	April 2026	0	0	0	0
8	May 2026	0	1	0	1
9	June 2026	1	2	3	0
10	July 2026	0	0	0	0
11	August 2026	0	0	0	0
12	September 2026	0	0	0	0

Trend of annual disposal of complaints

S.N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	0	0	0
2	2022 - 23	0	0	0	0
3	2023 - 24	0	0	0	0
4	2024 - 25	0	0	0	0
5	2025 - 26	0	5	5	0
6	2026 - 27	0	3	3	0
	Grand Total	0	8	8	0

Note : Trend of annual disposal of complaints 2026-27 includes the complaint details upto September-2026

Annexure -B Investor Complaints Report
(Jainam Broking Limited Membership No. MSEI - 11200)

Data for the month of September, 2026

SN	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved	Pending at the end of month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0		0
4	Other Sources	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

S. No.	Month	Carried Forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	October 2025	0	0	0	0
2	November 2025	0	0	0	0
3	December 2025	0	0	0	0
4	January 2026	0	0	0	0
5	February 2026	0	0	0	0
6	March 2026	0	0	0	0
7	April 2026	0	0	0	0
8	May 2026	0	0	0	0
9	June 2026	0	1	1	0
10	July 2026	1	0	1	0
11	August 2026	0	0	0	0
12	September 2026	0	0	0	0

Trend of annual disposal of complaints

S.N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	0	0	0
2	2022 - 23	0	0	0	0
3	2023 - 24	0	0	0	0
4	2024 - 25	0	0	0	0
5	2025 - 26	0	0	0	0
6	2026 - 27	0	1	1	0
	Grand Total	0	1	1	0

Note : Trend of annual disposal of complaints 2026-27 includes the complaint details upto September-2026.