

## **Annexure - A - Investor Charter in respect of Research Analyst (RA)**

*(Jainam Broking Limited - SEBI Registration No. INH000006448)*

*(Research Analyst)*

### **a. Vision and Mission Statements for investors**

- Vision

Invest with knowledge and safety.

- Mission

Every investor should be able to invest in right investment products based on their needs, manage and monitor them to meet their goals, access reports and enjoy financial wellness.

### **b. Details of business transacted by the Research Analyst with respect to the investors.**

- To publish research report based on the research activities of the RA.
- To provide an independent unbiased view on securities.
- To offer unbiased recommendation, disclosing the financial interests in recommended securities.
- To provide research recommendation, based on analysis of publicly available information and known observations.
- To conduct audit annually.

### **c. Details of services provided to investors (No Indicative Timelines)**

- On boarding of Clients.
- Disclosure to Clients.
  - To distribute research reports and recommendations to the clients without discrimination.
  - To maintain confidentiality w.r.t publication of the research report until made available in the public domain.

### **d. Details of grievance redressal mechanism and how to access it.**

- In case of any grievance / complaint, an investor should approach the concerned research analyst and shall ensure that the grievance is resolved within 30 days.
- If the investor's complaint is not redressed satisfactorily, one may lodge a complaint with SEBI on SEBI's SCORES portal which is a centralized web based complaints redressal system. SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.
- With regard to physical complaints, investors may send their complaints to: Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

**e. Expectations from the investors (Responsibilities of investors).**

**Do's**

- Always deal with SEBI registered Research Analyst.
- Ensure that the Research Analyst has a valid registration certificate.
- Check for SEBI registration number.
- Please refer to the list of all SEBI registered Research Analysts which is available on SEBI website in the following link:  
(<https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmId=14>)
- Always pay attention towards disclosures made in the research reports before investing.
- Pay your Research Analyst through banking channels only and maintain duly signed receipts mentioning the details of your payments.
- Before buying securities or applying in public offer, check for the research recommendation provided by your research Analyst.
- Ask all relevant questions and clear your doubts with your Research Analyst before acting on the recommendation.
- Inform SEBI about Research Analyst offering assured or guaranteed returns.

**Dont's**

- Do not provide funds for investment to the Research Analyst.
- Do not fall prey to luring advertisements or market rumors.
- Do not get attracted to limited period discount or other incentive, gifts, etc. offered by Research Analyst.
- Do not share login credentials and password of your trading and demat accounts with the Research Analyst.

## **Annexure B - Investor Complaints Report**

(Jainam Broking Limited - SEBI Registration No. INH000006448)

(Research Analyst)

### **Data for month of March 2026**

| SN | Received from           | Pending at the end of last month | Received | Resolved | Total Pending | Pending complaints >3 months | Average Resolution time (in days) |
|----|-------------------------|----------------------------------|----------|----------|---------------|------------------------------|-----------------------------------|
| 1  | Directly from Investors | 0                                | 0        | 0        | 0             | 0                            | 0                                 |
| 2  | SEBI(SCORES)            | 0                                | 0        | 0        | 0             | 0                            | 0                                 |
| 3  | Other Sources           | 0                                | 0        | 0        | 0             | 0                            | 0                                 |
|    | <b>Grand Total</b>      | 0                                | 0        | 0        | 0             | 0                            | 0                                 |

### **Trend of monthly disposal of complaints (Yearly trend - Rolling Month Basis)**

| S. No. | Month          | Carried Forward from previous month | Received | Resolved | Pending |
|--------|----------------|-------------------------------------|----------|----------|---------|
| 1      | April 2025     | 0                                   | 0        | 0        | 0       |
| 2      | May 2025       | 0                                   | 0        | 0        | 0       |
| 3      | June 2025      | 0                                   | 0        | 0        | 0       |
| 4      | July 2025      | 0                                   | 0        | 0        | 0       |
| 5      | August 2025    | 0                                   | 0        | 0        | 0       |
| 6      | September 2025 | 0                                   | 0        | 0        | 0       |
| 7      | October 2025   | 0                                   | 0        | 0        | 0       |
| 8      | November 2025  | 0                                   | 0        | 0        | 0       |
| 9      | December 2025  | 0                                   | 0        | 0        | 0       |
| 10     | January 2026   | 0                                   | 0        | 0        | 0       |
| 11     | February 2026  | 0                                   | 0        | 0        | 0       |
| 12     | March 2026     | 0                                   | 0        | 0        | 0       |

### **Trend of annual disposal of complaints**

| S.N | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1   | 2021 - 22          | 0                                  | 0                        | 0                        | 0                              |
| 2   | 2022 - 23          | 0                                  | 0                        | 0                        | 0                              |
| 3   | 2023 - 24          | 0                                  | 0                        | 0                        | 0                              |
| 4   | 2024 - 25          | 0                                  | 0                        | 0                        | 0                              |
| 5   | 2025 - 26          | 0                                  | 0                        | 0                        | 0                              |
|     | <b>Grand Total</b> | 0                                  | 0                        | 0                        | 0                              |

Note: Trend of annual disposal of complaints 2025-26 includes the complaint details upto March -2026