

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67120GJ2003PTC043162

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCJ3918N

(ii) (a) Name of the company

JAINAM SHARE CONSULTANTS

(b) Registered office address

Unit 1-B, 3rd Floor, GIFT One Building, Road 5-C  
Zone 5, GIFT City  
Gandhinagar  
Gandhinagar  
Gujarat  
382255

(c) \*e-mail ID of the company

milan.parikh@jainam.in

(d) \*Telephone number with STD code

02613087104

(e) Website

(iii) Date of Incorporation

10/11/2003

| (iv) | Type of the Company | Category of the Company   | Sub-category of the Company   |
|------|---------------------|---------------------------|-------------------------------|
|      | Private Company     | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

Yes

No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes

No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

(e) Extended due date of AGM after grant of extension

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity                       | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|--|------------------------------|
| 1    | K                        | Financial and insurance Service    | K7                     | Financial Advisory, brokerage and Consultancy Services | 56.64                        |
| 2    | K                        | Financial and insurance Service    | K8                     | Other financial activities                             | 43.36                        |

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

| S.No | Name of the company                | CIN / FCRN            | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|------------------------------------|-----------------------|--|------------------|
| 1    | JAINAM FINCAP PRIVATE LIMITED      | U65999GJ2016PTC093156 | Subsidiary                                   | 100              |
| 2    | JAINAM IFSC MAVENS PRIVATE LIMITED | U65990GJ2017PTC097096 | Subsidiary                                   | 100              |

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

| Particulars                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares             | 1,000,000          | 498,900        | 498,900            | 498,900         |
| Total amount of equity shares (in Rupees) | 10,000,000         | 4,989,000      | 4,989,000          | 4,989,000       |

Number of classes

1

| Class of Shares                           | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| equity shares                             |                    |                |                    |                 |
| Number of equity shares                   | 1,000,000          | 498,900        | 498,900            | 498,900         |
| Nominal value per share (in rupees)       | 10                 | 10             | 10                 | 10              |
| Total amount of equity shares (in rupees) | 10,000,000         | 4,989,000      | 4,989,000          | 4,989,000       |

**(b) Preference share capital**

| Particulars                                   | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares             | 200,000            | 105,000        | 105,000            | 105,000         |
| Total amount of preference shares (in rupees) | 2,000,000          | 1,050,000      | 1,050,000          | 1,050,000       |

Number of classes

1

| Class of shares                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| preference shares                             |                    |                |                    |                 |
| Number of preference shares                   | 200,000            | 105,000        | 105,000            | 105,000         |
| Nominal value per share (in rupees)           | 10                 | 10             | 10                 | 10              |
| Total amount of preference shares (in rupees) | 2,000,000          | 1,050,000      | 1,050,000          | 1,050,000       |

**(c) Unclassified share capital**

| Particulars                         | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0                  |

**(d) Break-up of paid-up share capital**

| Class of shares                               | Number of shares | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|----------------------|----------------------|---------------|
| <b>Equity shares</b>                          |                  |                      |                      |               |
| <b>At the beginning of the year</b>           | 498,900          | 4,989,000            | 4,989,000            |               |
| <b>Increase during the year</b>               | 0                | 0                    | 0                    | 0             |
| i. Public Issues                              | 0                | 0                    | 0                    | 0             |
| ii. Rights issue                              | 0                | 0                    | 0                    | 0             |
| iii. Bonus issue                              | 0                | 0                    | 0                    | 0             |
| iv. Private Placement/ Preferential allotment | 0                | 0                    | 0                    | 0             |

|                                     |         |           |           |   |
|-------------------------------------|---------|-----------|-----------|---|
| v. ESOPs                            | 0       | 0         | 0         | 0 |
| vi. Sweat equity shares allotted    | 0       | 0         | 0         | 0 |
| vii. Conversion of Preference share | 0       | 0         | 0         | 0 |
| viii. Conversion of Debentures      | 0       | 0         | 0         | 0 |
| ix. GDRs/ADRs                       | 0       | 0         | 0         | 0 |
| x. Others, specify                  |         |           |           |   |
| <b>Decrease during the year</b>     | 0       | 0         | 0         | 0 |
| i. Buy-back of shares               | 0       | 0         | 0         | 0 |
| ii. Shares forfeited                | 0       | 0         | 0         | 0 |
| iii. Reduction of share capital     | 0       | 0         | 0         | 0 |
| iv. Others, specify                 |         |           |           |   |
| <b>At the end of the year</b>       | 498,900 | 4,989,000 | 4,989,000 |   |
| <b>Preference shares</b>            |         |           |           |   |
| <b>At the beginning of the year</b> | 105,000 | 1,050,000 | 1,050,000 |   |
| <b>Increase during the year</b>     | 0       | 0         | 0         | 0 |
| i. Issues of shares                 | 0       | 0         | 0         | 0 |
| ii. Re-issue of forfeited shares    | 0       | 0         | 0         | 0 |
| iii. Others, specify                |         |           |           |   |
| <b>Decrease during the year</b>     | 0       | 0         | 0         | 0 |
| i. Redemption of shares             | 0       | 0         | 0         | 0 |
| ii. Shares forfeited                | 0       | 0         | 0         | 0 |
| iii. Reduction of share capital     | 0       | 0         | 0         | 0 |
| iv. Others, specify                 |         |           |           |   |
| <b>At the end of the year</b>       | 105,000 | 1,050,000 | 1,050,000 |   |

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares              |                      | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |
| After split / Consolidation  | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

|  |                      |  |                      |  |
|--|----------------------|--|----------------------|--|
| Date of the previous annual general meeting        |                      | <input type="text" value="30/09/2019"/>                    |                      |  |
| Date of registration of transfer (Date Month Year) |                      | <input type="text"/>                                       |                      |  |
| Type of transfer                                   | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |                      |  |
| Number of Shares/ Debentures/ Units Transferred    | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.)                  | <input type="text"/> |  |
| Ledger Folio of Transferor                         |                      | <input type="text"/>                                       |                      |  |
| Transferor's Name                                  | <input type="text"/> | <input type="text"/>                                       | <input type="text"/> |  |
|  | Surname              | middle name  | first name           |  |
| Ledger Folio of Transferee                         |                      | <input type="text"/>                                       |                      |  |
| Transferee's Name                                  | <input type="text"/> | <input type="text"/>                                       | <input type="text"/> |  |
|  | Surname              | middle name  | first name           |  |

|   |                      |  |                      |
|---|----------------------|--|----------------------|
| Date of registration of transfer (Date Month Year) <input type="text"/> |                      |  |                      |
| Type of transfer  | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |                      |
| Number of Shares/ Debentures/<br>Units Transferred                      | <input type="text"/> | Amount per Share/<br>Debenture/Unit (in Rs.)               | <input type="text"/> |
| Ledger Folio of Transferor  |                      | <input type="text"/>                                       |                      |
| Transferor's Name   | <input type="text"/> | <input type="text"/>                                       | <input type="text"/> |
|   | Surname              | middle name  | first name           |
| Ledger Folio of Transferee  |                      | <input type="text"/>                                       |                      |
| Transferee's Name   | <input type="text"/> | <input type="text"/>                                       | <input type="text"/> |
|   | Surname              | middle name  | first name           |

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

| Particulars   | Number of units | Nominal value per unit | Total value |
|---|-----------------|------------------------|-------------|
| Non-convertible debentures  | 0               | 0                      | 0           |
| Partly convertible debentures   | 0               | 0                      | 0           |
| Fully convertible debentures  | 0               | 0                      | 0           |
| Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits   |                 |                        | 445,304,295 |
| Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits |                 |                        | 0           |
| Deposit   |                 |                        | 0           |
| <b>Total</b>  |                 |                        | 445,304,295 |

**Details of debentures**

| Class of debentures           | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|-------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures    | 0   | 0                        | 0                        | 0                                     |
| Partly convertible debentures | 0   | 0                        | 0                        | 0                                     |
| Fully convertible debentures  | 0   | 0                        | 0                        | 0                                     |

**(v) Securities (other than shares and debentures)**

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
|                    |                      |                            |                     |                            |                     |
|                    |                      |                            |                     |                            |                     |
| <b>Total</b>       |                      |                            |                     |                            |                     |

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

882,826,586

**(ii) Net worth of the Company**

1,333,790,922

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

| S. No. | Category | Equity | Preference |
|--------|----------|--------|------------|
|--------|----------|--------|------------|

|     |   | Number of shares | Percentage | Number of shares | Percentage |
|-----|---|------------------|------------|------------------|------------|
| 1.  | Individual/Hindu Undivided Family       |                  |            |                  |            |
|     | (i) Indian                              | 498,900          | 100        | 70,000           | 66.67      |
|     | (ii) Non-resident Indian (NRI)          | 0                | 0          | 0                | 0          |
|     | (iii) Foreign national (other than NRI) | 0                | 0          | 0                | 0          |
| 2.  | Government                              |                  |            |                  |            |
|     | (i) Central Government                  | 0                | 0          | 0                | 0          |
|     | (ii) State Government                   | 0                | 0          | 0                | 0          |
|     | (iii) Government companies              | 0                | 0          | 0                | 0          |
| 3.  | Insurance companies                     | 0                | 0          | 0                | 0          |
| 4.  | Banks                                   | 0                | 0          | 0                | 0          |
| 5.  | Financial institutions                  | 0                | 0          | 0                | 0          |
| 6.  | Foreign institutional investors         | 0                | 0          | 0                | 0          |
| 7.  | Mutual funds                            | 0                | 0          | 0                | 0          |
| 8.  | Venture capital                         | 0                | 0          | 0                | 0          |
| 9.  | Body corporate<br>(not mentioned above) | 0                | 0          | 35,000           | 33.33      |
| 10. | Others                                  | 0                | 0          | 0                | 0          |
|     | <b>Total</b>                            | 498,900          | 100        | 105,000          | 100        |

**Total number of shareholders (promoters)**

21

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

| S. No. | Category                                | Equity           |            | Preference       |            |
|--------|---|------------------|------------|------------------|------------|
|        |   | Number of shares | Percentage | Number of shares | Percentage |
| 1.     | Individual/Hindu Undivided Family       |                  |            |                  |            |
|        | (i) Indian                              | 0                | 0          | 0                | 0          |
|        | (ii) Non-resident Indian (NRI)          | 0                | 0          | 0                | 0          |
|        | (iii) Foreign national (other than NRI) | 0                | 0          | 0                | 0          |

|     |   |   |   |   |   |
|-----|---|---|---|---|---|
| 2.  | Government                              |   |   |   |   |
|     | (i) Central Government                  | 0 | 0 | 0 | 0 |
|     | (ii) State Government                   | 0 | 0 | 0 | 0 |
|     | (iii) Government companies              | 0 | 0 | 0 | 0 |
| 3.  | Insurance companies                     | 0 | 0 | 0 | 0 |
| 4.  | Banks                                   | 0 | 0 | 0 | 0 |
| 5.  | Financial institutions                  | 0 | 0 | 0 | 0 |
| 6.  | Foreign institutional investors         | 0 | 0 | 0 | 0 |
| 7.  | Mutual funds                            | 0 | 0 | 0 | 0 |
| 8.  | Venture capital                         | 0 | 0 | 0 | 0 |
| 9.  | Body corporate<br>(not mentioned above) | 0 | 0 | 0 | 0 |
| 10. | Others                                  | 0 | 0 | 0 | 0 |
|     | <b>Total</b>                            | 0 | 0 | 0 | 0 |

**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

21

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

| Details                           | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters                         | 11                           | 21                     |
| Members<br>(other than promoters) | 7                            | 0                      |
| Debenture holders                 | 0                            | 0                      |

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

| Category | Number of directors at the beginning of the year |               | Number of directors at the end of the year |               | Percentage of shares held by directors as at the end of year |               |
|----------|--|---------------|--|---------------|--|---------------|
|          | Executive  | Non-executive | Executive                                  | Non-executive | Executive  | Non-executive |
|          |  |               |  |               |  |               |

|  |   |   |   |   |       |   |
|--|---|---|---|---|-------|---|
| <b>A. Promoter</b>                       | 4 | 0 | 2 | 0 | 13.01 | 0 |
| <b>B. Non-Promoter</b>                   | 0 | 0 | 0 | 0 | 0     | 0 |
| (i) Non-Independent                      | 0 | 0 | 0 | 0 | 0     | 0 |
| (ii) Independent                         | 0 | 0 | 0 | 0 | 0     | 0 |
| <b>C. Nominee Directors representing</b> | 0 | 0 | 0 | 0 | 0     | 0 |
| (i) Banks & FIs                          | 0 | 0 | 0 | 0 | 0     | 0 |
| (ii) Investing institutions              | 0 | 0 | 0 | 0 | 0     | 0 |
| (iii) Government                         | 0 | 0 | 0 | 0 | 0     | 0 |
| (iv) Small share holders                 | 0 | 0 | 0 | 0 | 0     | 0 |
| (v) Others                               | 0 | 0 | 0 | 0 | 0     | 0 |
| Total                                    | 4 | 0 | 2 | 0 | 13.01 | 0 |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

2

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

| Name                | DIN/PAN  | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|---------------------|----------|-------------|--------------------------------|--|
| MILANBHAI SURESHB   | 00085061 | Director    | 60,100                         |  |
| VIDHI DISHANT PARIK | 07788145 | Director    | 4,800                          |  |

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

4

| Name                | DIN/PAN  | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|---------------------|----------|--|---|--|
| JITENDRA CHANDULA   | 00054968 | Director   | 16/08/2019  | Cessation  |
| CHIRAG JITENDRA SH  | 00054993 | Director   | 16/08/2019  | Cessation  |
| NIPUN RASIK SHAH    | 00085025 | Director   | 21/02/2020  | Cessation  |
| VIDHI DISHANT PARIK | 07788145 | Director   | 25/07/2019  | Appointment  |

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

3

| Type of meeting | Date of meeting | Total Number of Members entitled to attend meeting | Attendance                 |                         |
|-----------------|-----------------|--|----------------------------|-------------------------|
|                 |                 |  | Number of members attended | % of total shareholding |
| EGM             | 07/06/2019      | 21   | 11                         | 91.47                   |
| EGM             | 25/07/2019      | 21   | 11                         | 91.47                   |
| EGM             | 30/09/2019      | 21   | 11                         | 91.47                   |

**B. BOARD MEETINGS**

\*Number of meetings held

43

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|--------|-----------------|--|------------------------------|-----------------|
|        |                 |  | Number of directors attended | % of attendance |
| 1      | 05/04/2019      | 4  | 4                            | 100             |
| 2      | 06/04/2019      | 4  | 4                            | 100             |
| 3      | 20/04/2019      | 4  | 4                            | 100             |
| 4      | 22/04/2019      | 4  | 4                            | 100             |
| 5      | 30/04/2019      | 4  | 4                            | 100             |
| 6      | 16/05/2019      | 4  | 4                            | 100             |
| 7      | 18/05/2019      | 4  | 4                            | 100             |
| 8      | 24/05/2019      | 4  | 4                            | 100             |
| 9      | 30/05/2019      | 4  | 4                            | 100             |
| 10     | 13/06/2019      | 4  | 4                            | 100             |
| 11     | 15/06/2019      | 4  | 4                            | 100             |
| 12     | 01/07/2019      | 4  | 4                            | 100             |

**C. COMMITTEE MEETINGS**

Number of meetings held

2

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance                 |                 |
|--------|-----------------|-----------------|---|----------------------------|-----------------|
|        |                 |                 |   | Number of members attended | % of attendance |

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance                 |                 |
|--------|-----------------|-----------------|---|----------------------------|-----------------|
|        |                 |                 |   | Number of members attended | % of attendance |
| 1      | CSR COMMITTEE   | 30/04/2019      | 3   | 3                          | 100             |
| 2      | CSR COMMITTEE   | 04/01/2020      | 2   | 2                          | 100             |

**D. \*ATTENDANCE OF DIRECTORS**

| S. No. | Name of the director | Board Meetings   |                             |                 | Committee Meetings                                       |                             |                 | Whether attended AGM held on |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|------------------------------|
|        |                      | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance |                              |
|        |                      |  |                             |                 |  |                             |                 |                              |
| 1      | MILANBHAI SURESH     | 43   | 43                          | 100             | 2  | 2                           | 100             | Yes                          |
| 2      | VIDHI DISHANT PATEL  | 26   | 26                          | 100             | 1  | 1                           | 100             | Yes                          |

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

| S. No. | Name  | Designation | Gross Salary | Commission | Stock Option/Sweat equity | Others | Total Amount |
|--------|-------|-------------|--------------|------------|---------------------------|--------|--------------|
| 1      |       |             |              |            |                           |        | 0            |
|        | Total |             |              |            |                           |        |              |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

| S. No. | Name  | Designation | Gross Salary | Commission | Stock Option/Sweat equity | Others | Total Amount |
|--------|-------|-------------|--------------|------------|---------------------------|--------|--------------|
| 1      |       |             |              |            |                           |        | 0            |
|        | Total |             |              |            |                           |        |              |

Number of other directors whose remuneration details to be entered

3

| S. No. | Name                | Designation | Gross Salary | Commission | Stock Option/Sweat equity | Others | Total Amount |
|--------|---------------------|-------------|--------------|------------|---------------------------|--------|--------------|
| 1      | MILANBHAI SURESH    | Director    | 2,400,000    | 0          | 0                         | 0      | 2,400,000    |
| 2      | VIDHI DISHANT PATEL | Director    | 720,000      | 0          | 0                         | 0      | 720,000      |
| 3      | NIPUN SHAH          | Director    | 500,000      | 0          | 0                         | 0      | 500,000      |

| S. No. | Name  | Designation | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others | Total<br>Amount |
|--------|-------|-------------|--------------|------------|-------------------------------|--------|-----------------|
|        | Total |             | 3,620,000    | 0          | 0                             | 0      | 3,620,000       |

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
|  |  |               |  |                                |   |

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
|  |  |               |   |                        |                                   |

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Prerna Sharma

Whether associate or fellow

Associate  Fellow

Certificate of practice number

16537

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director



DIN of the director

**To be digitally signed by**



- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

Jainam Share LOS.pdf  
 extensionofagm\_ahmedabad\_10092020f.p  
 Transfer Register.pdf  
 MGT-8.pdf  
 MGT-7\_Disclaimer.pdf  
 Letter.pdf  
 Meeting.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



**JAINAM SHARE CONSULTANTS PRIVATE LIMITED****CIN: U67120GJ2003PTC043162****DETAILS OF MEMBERS, DEBENTURE HOLDERS AND OTHER SECURITIES HOLDER  
AS ON 31.03.2020**

| Sr. No. | Share holder Name | Father/ Husband Name | Joint holder Name | Address (City, District, State, Country, Pin Code)   | Type of share | No. of shares held | Amount per Share | % Holding |
|---------|-------------------|----------------------|-------------------|--|---------------|--------------------|------------------|-----------|
| 1       | Milan S Parikh    | Suresh Parikh        |                   | 2, Samta Bunglow<br>Nehru Nagar,<br>Dumas Road,<br>Surat, Gujarat-<br>395007   | Equity        | 60,100             | 10               | 12.05     |
| 2       | Manish Shah       | Rasik Shah           |                   | B-202, Florence,<br>Vesu Main Road,<br>Surat-395007,<br>Gujarat  | Equity        | 100                | 10               | 0.02      |
| 3       | Dishant Parikh    | Milan Parikh         |                   | 2, Samta Bunglow<br>Nehru Nagar,<br>Dumas Road,<br>Surat, Gujarat-<br>395007   | Equity        | 40,300             | 10               | 8.08      |
| 4       | Vidhi Parikh      | Dishant Parikh       |                   | 2, Samta Bunglow<br>Nehru Nagar,<br>Dumas Road,<br>Surat, Gujarat-<br>395007   | Equity        | 4,800              | 10               | 0.96      |
| 5       | Jainam Parikh     | Milan Parikh         |                   | 2, Samta Bunglow<br>Nehru Nagar,<br>Dumas Road,<br>Surat, Gujarat-<br>395007   | Equity        | 14,800             | 10               | 2.97      |
| 6       | Bhavya Shah       | Nipun Shah           |                   | B - 704, Spring<br>Valley, New City<br>Light Road, Althan,<br>Surat - 395017,<br>Gujarat India                                       | Equity        | 100                | 10               | 0.02      |
| 7       | Jitendra C Shah   | Chandulal<br>Shah    |                   | A-1/806-906, Spring<br>Valley, Opp. Pushpa<br>Vatika, New Citylight<br>Road, Althan, Surat -<br>395017 , Gujarat,<br>India           | Equity        | 8,820              | 10               | 1.77      |
| 8       | Bhartiben J Shah  | Jitendra Shah        |                   | 806-906, Tower - C,<br>Spring Valley, Opp.<br>Pushpa Vatika, New<br>City Light Road,<br>Althan, Surat -<br>395017, Gujarat,<br>India | Equity        | 9,000              | 10               | 1.80      |

**JAINAM SHARE CONSULTANTS PRIVATE LIMITED****CIN: U67120GJ2003PTC043162****DETAILS OF MEMBERS, DEBENTURE HOLDERS AND OTHER SECURITIES HOLDER  
AS ON 31.03.2020**

| Sr. No. | Share holder Name | Father/ Husband Name | Joint holder Name | Address (City, District, State, Country, Pin Code)   | Type of share | No. of shares held | Amount per Share | % Holding |
|---------|-------------------|----------------------|-------------------|--|---------------|--------------------|------------------|-----------|
| 9       | Prem C Shah       | Chirag Shah          |                   | A-1/806-906, Spring Valley, Opp. Pushpa Vatika, New Citylight Road, Althan, Surat - 395017, Gujarat, India         | Equity        | 7,800              | 10               | 1.56      |
| 10      | Purna C Shah      | Chirag Shah          |                   | A-1/806-906, Spring Valley, Opp. Pushpa Vatika, New Citylight Road, Althan, Surat - 395017, Gujarat, India         | Equity        | 100                | 10               | 0.02      |
| 11      | Chirag Shah(HUF)  | NA                   |                   | A-1/806-906, Spring Valley, Opp. Pushpa Vatika, New Citylight Road, Althan, Surat - 395017, Gujarat, India         | Equity        | 100                | 10               | 0.02      |
| 12      | Sapna B Shah      | Bhavin Shah          |                   | 806-906, Tower - C, Spring Valley, Opp. Pushpa Vatika, New City Light Road, Althan, Surat - 395017, Gujarat, India | Equity        | 100                | 10               | 0.02      |
| 13      | Bhavin J Shah     | Jitendra Shah        |                   | 806-906, Tower - C, Spring Valley, Opp. Pushpa Vatika, New City Light Road, Althan, Surat - 395017, Gujarat, India | Equity        | 5,250              | 10               | 1.05      |
| 14      | Bhavin Shah HUF   | NA                   |                   | 806-906, Tower - C, Spring Valley, Opp. Pushpa Vatika, New City Light Road, Althan, Surat - 395017, Gujarat, India | Equity        | 100                | 10               | 0.02      |

**JAINAM SHARE CONSULTANTS PRIVATE LIMITED****CIN: U67120GJ2003PTC043162****DETAILS OF MEMBERS, DEBENTURE HOLDERS AND OTHER SECURITIES HOLDER  
AS ON 31.03.2020**

| Sr. No. | Share holder Name                                | Father/ Husband Name | Joint holder Name | Address (City, District, State, Country, Pin Code)                          | Type of share | No. of shares held | Amount per Share | % Holding     |
|---------|--|----------------------|-------------------|---|---------------|--------------------|------------------|---------------|
| 15      | Suresh Parikh(HUF)                               | NA                   |                   | Siddhkshetra-B, 1-B Above Sargam Shopping Complex, Dumas Road, Surat-395007 | Equity        | 15,000             | 10               | 3.01          |
| 16      | Bharat Parikh                                    | Suresh Parikh        |                   | Siddhkshetra-B, 1-B Above Sargam Shopping Complex, Dumas Road, Surat-395007 | Equity        | 8,000              | 10               | 1.60          |
| 17      | Bharat Parikh(HUF)                               | NA                   |                   | Siddhkshetra-B, 1-B Above Sargam Shopping Complex, Dumas Road, Surat-395007 | Equity        | 9,000              | 10               | 1.80          |
| 18      | Pinakin B Parikh                                 | Bharat Parikh        |                   | Siddhkshetra-B, 1-B Above Sargam Shopping Complex, Dumas Road, Surat-395007 | Equity        | 7,000              | 10               | 1.40          |
| 19      | Milan S Parikh(HUF)                              | NA                   |                   | 2, Samta Bunglow Nehru Nagar, Dumas Road, Surat, Gujarat-395007             | Equity        | 15,300             | 10               | 3.07          |
| 20      | Anal M Parikh                                    | Milan Parikh         |                   | 2, Samta Bunglow Nehru Nagar, Dumas Road, Surat, Gujarat-395007             | Equity        | 11,600             | 10               | 2.33          |
| 21      | Bharat Parikh on behalf of Prarthana Enterprises | NA                   |                   | Shop # 13, Gokul Vihar, Tokarkhada, Silvasa - 396230                        | Equity        | 281,530            | 10               | 56.43         |
|         |  |                      |                   | <b>Total</b>  | <b>Equity</b> | <b>498,900</b>     |                  | <b>100.00</b> |

For Jainam Share Consultants Private Limited

*M. S. Parikh* ✓Milan Parikh  
Director  
DIN: 00085061*Vidhi Parikh*Vidhi Parikh  
Director  
DIN: 07788145

**JAINAM SHARE CONSULTANTS PRIVATE LIMITED****CIN: U67120GJ2003PTC043162****DETAILS OF MEMBERS, DEBENTURE HOLDERS AND OTHER SECURITIES HOLDER  
AS ON 31.03.2020**

| Sr. No. | Share holder Name   | Father/Husband Name | Joint holder Name | Address (City, District, State, Country, Pin Code)                                       | Type of share | No. of shares held | Amount per Share | % Holding |
|---------|---|---------------------|-------------------|--|---------------|--------------------|------------------|-----------|
| 1       | Nipun Shah  | Rasik Shah          |                   | B - 704, Spring Valley, New City Light Road, Althan, Surat - 395017, Gujarat India       | Preference    | 35,000             | 10               | 33.33     |
| 2       | Milan S Parikh  | Suresh Parikh       |                   | 2, Samta Bunglow Nehru Nagar, Dumas Road, Surat, Gujarat-395007                          | Preference    | 10,500             | 10               | 10.00     |
| 3       | Dishant Parikh  | Milan Parikh        |                   | 2, Samta Bunglow Nehru Nagar, Dumas Road, Surat, Gujarat-395007                          | Preference    | 5,830              | 10               | 5.55      |
| 4       | Bharat Parikh   | Suresh Parikh       |                   | Siddhshetra-B, 1-B Above Sargam Shopping Complex, Dumas Road, Surat-395007               | Preference    | 12,835             | 10               | 12.22     |
| 5       | Pinakini Parikh   | Bharat Parikh       |                   | Siddhshetra-B, 1-B Above Sargam Shopping Complex, Dumas Road, Surat-395007               | Preference    | 5,835              | 10               | 5.56      |
| 6       | Parshwa Enterprises LLP (Formerly known as Parshwa Enterprises Pvt Ltd) | NA                  |                   | D-304,305, Yash Plaza, Opp Dhanamal Mills, Varachha Road, Surat - 395006, Gujarat, India | Preference    | 29,170             | 10               | 27.78     |
| 7       | Chintamani Trading Private Limited                                      | NA                  |                   | 102, Vitrag Chambers, Thobha Sheri, Mahidharpura Surat - 395003, Gujarat, India          | Preference    | 5,830              | 10               | 5.55      |
|         |   |                     |                   | <b>Total</b>   |               | <b>105,000</b>     |                  |           |

For Jainam Share Consultants Private Limited

M.S. Parikh ✓

Milan Parikh  
Director  
DIN: 00085061

Vidhi Parikh

Vidhi Parikh  
Director  
DIN: 07788145

| Sr. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|---------|-----------------|--|------------------------------|-----------------|
|         |                 |  | Number of directors attended | % of attendance |
| 13      | 13-07-2019      | 4  | 4                            | 100             |
| 14      | 20-07-2019      | 4  | 4                            | 100             |
| 15      | 24-07-2019      | 4  | 4                            | 100             |
| 16      | 27-07-2019      | 5  | 5                            | 100             |
| 17      | 05-08-2019      | 5  | 5                            | 100             |
| 18      | 07-08-2019      | 5  | 5                            | 100             |
| 19      | 10-08-2019      | 5  | 5                            | 100             |
| 20      | 17-08-2019      | 3  | 3                            | 100             |
| 21      | 03-09-2019      | 3  | 3                            | 100             |
| 22      | 07-09-2019      | 3  | 3                            | 100             |
| 23      | 14-09-2019      | 3  | 3                            | 100             |
| 24      | 21-09-2019      | 3  | 3                            | 100             |
| 25      | 24-09-2019      | 3  | 3                            | 100             |
| 26      | 03-10-2019      | 3  | 3                            | 100             |
| 27      | 05-10-2019      | 3  | 3                            | 100             |
| 28      | 12-10-2019      | 3  | 3                            | 100             |
| 29      | 02-11-2019      | 3  | 3                            | 100             |
| 30      | 07-12-2019      | 3  | 3                            | 100             |
| 31      | 21-12-2019      | 3  | 3                            | 100             |
| 32      | 04-01-2020      | 3  | 3                            | 100             |
| 33      | 18-01-2020      | 3  | 3                            | 100             |
| 34      | 21-01-2020      | 3  | 3                            | 100             |
| 35      | 01-02-2020      | 2  | 2                            | 100             |
| 36      | 10-02-2020      | 2  | 2                            | 100             |
| 37      | 12-02-2020      | 2  | 2                            | 100             |
| 38      | 13-02-2020      | 2  | 2                            | 100             |
| 39      | 15-02-2020      | 2  | 2                            | 100             |
| 40      | 20-02-2020      | 2  | 2                            | 100             |
| 42      | 07-03-2020      | 2  | 2                            | 100             |
| 43      | 16-03-2020      | 2  | 2                            | 100             |

### **CLARIFICATION LETTER**

This is to clarify the shareholding pattern of the directors shown in Section VII (A) of the Form MGT-7.

Kindly note that out of the total 48900 equity shares of the company, 281530 shares are held by the director (Bharat Parikh) of the company on behalf of a partnership firm **M/s. Prarthana Enterprises** and he also holds 8000 equity shares in his individual capacity

As the shareholder holds shares in both his individual capacity and as registered member on behalf of the firm, we have shown the holding under Individual holding of Bharat Parikh in the above mentioned section of the Form.



**PRERNA SHARMA**  
*Practicing Company Secretary*

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**TO WHOMSOEVER IT MAY CONCERN**

This form is prepared by the Company and figures and information stated in the form are as per current records of the Company as on last day of the financial year for which this Annual Return is filed. There are chances that all supporting forms and documents those are required to be filed with the ROC office may not be filed by the company and other related procedures as required under the Companies Act, 2013 and applicable rules may or may not be done by the company. The meeting and attendance has been filled as per the information provided by the Company.

Suitable Changes are made in the form wherever Master Data pre-filled by the system are not as per actual records of the Company.

I have verified this form from the limited angle of filling the content of this form as per records produced before me.

CS Prerna Sharma

CP: 16537

**UDIN: A043844B003780951**



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Address : Flat No. 603, Shashwat Complex, Opp. Honey Park Society, Honey Park Road, Adajan , Surat – 395 009  
Contact : (M) +91- 9726013226  
E - Mail : [esprernasharma09@gmail.com](mailto:esprernasharma09@gmail.com)

**JAINAM SHARE CONSULTANTS PRIVATE LIMITED**

**CIN: U67120GJ2003PTC043162**

Details of shares / debentures transfers since the close of last financial year  
(or in the case of the first return at any time since the incorporation of the Company.)

Date of closure of Previous financial year

31/03/2019

Date of closure of Current financial year

31/03/2020

| Sr. No. | Date of Registration of Transfer of Shares | L.F. of Transferor | Transferor's Name                                    | L.F. of Transferee | Transferee's Name                                       | Type of Security | Number of Shares/ debenture | Nominal Value (each in Rs.) |
|---------|--|--------------------|--|--------------------|---|------------------|-----------------------------|-----------------------------|
| 1       | 20.04.2019                                 | 07                 | Bhartiben Jitendrabhai Shah                          | 27                 | Faluben Rupak Shah                                      | Equity           | 2500                        | 10                          |
| 2       | 20.04.2019                                 | 07                 | Bhartiben Jitendrabhai Shah                          | 26                 | Prem Chirag Shah  | Equity           | 17500                       | 10                          |
| 3       | 20.04.2019                                 | 07                 | Bhartiben Jitendrabhai Shah                          | 25                 | Sapnaben Bhavinbhai Shah                                | Equity           | 2000                        | 10                          |
| 4       | 20.04.2019                                 | 10                 | Bhavin Jitendrabhai Shah                             | 28                 | Chirah Shah (on behalf of Dr. J. C. Shah Pariwar Trust) | Equity           | 2500                        | 10                          |
| 5       | 20.04.2019                                 | 10                 | Bhavin Jitendrabhai Shah                             | 29                 | Bhavin Jitendrabhai Shah HUF                            | Equity           | 2000                        | 10                          |
| 6       | 20.04.2019                                 | 10                 | Bhavin Jitendrabhai Shah                             | 30                 | Bhavin Shah (on behalf of Riddham Wealth Enterprises)   | Equity           | 6250                        | 10                          |
| 7       | 20.04.2019                                 | 01                 | Chirag Jitendra Shah                                 | 25                 | Sapnaben Bhavinbhai Shah                                | Equity           | 7835                        | 10                          |
| 8       | 20.04.2019                                 | 06                 | Jitendra Chandulal Shah                              | 05                 | Jitendra Chandulal Shah HUF                             | Equity           | 2000                        | 10                          |
| 9       | 20.04.2019                                 | 04                 | Nipun Rasik Shah                                     | 21                 | Bhavya Nipun Shah                                       | Equity           | 35700                       | 10                          |
| 10      | 20.04.2019                                 | 04                 | Nipun Rasik Shah                                     | 24                 | Kamini Manish Shah                                      | Equity           | 11800                       | 10                          |
| 11      | 20.04.2019                                 | 04                 | Nipun Rasik Shah                                     | 23                 | Manish Rasik Shah                                       | Equity           | 27600                       | 10                          |
| 12      | 20.04.2019                                 | 04                 | Nipun Rasik Shah                                     | 22                 | Zeal Nipun Shah   | Equity           | 33200                       | 10                          |
| 13      | 22.05.2019                                 | 08                 | Purna C Shah   | 32                 | Jainam Parikh   | Equity           | 7400                        | 10                          |
| 14      | 22.05.2019                                 | 08                 | Purna C Shah   | 31                 | Vidhi Parikh  | Equity           | 2400                        | 10                          |
| 15      | 22.05.2019                                 | 09                 | Chirag Shah(HUF)                                     | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 25300                       | 10                          |
| 16      | 22.05.2019                                 | 08                 | Purna C Shah   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 16000                       | 10                          |
| 17      | 22.05.2019                                 | 01                 | Chirag J Shah  | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 10500                       | 10                          |
| 18      | 22.05.2019                                 | 06                 | Jitendra C Shah                                      | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 10400                       | 10                          |
| 19      | 22.05.2019                                 | 25                 | Sapna Shah   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 9735                        | 10                          |
| 20      | 22.05.2019                                 | 26                 | Prem Shah  | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 9700                        | 10                          |
| 21      | 22.05.2019                                 | 10                 | Bhavin J Shah  | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 8800                        | 10                          |
| 22      | 22.05.2019                                 | 30                 | Bhavin Shah (on behalf of Riddham Wealth Enterprise) | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 6250                        | 10                          |
| 23      | 22.05.2019                                 | 05                 | Jitendra Shah(HUF)                                   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 6000                        | 10                          |
| 24      | 22.05.2019                                 | 07                 | Bhartiben J Shah                                     | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 4500                        | 10                          |
| 25      | 22.05.2019                                 | 27                 | Faluben Shah   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 2500                        | 10                          |
| 26      | 22.05.2019                                 | 28                 | Chirag Shah (on behalf of J C Shah Pariwar Trust)    | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 2500                        | 10                          |
| 27      | 22.05.2019                                 | 29                 | Bhavin J Shah HUF                                    | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 1900                        | 10                          |
| 28      | 22.05.2019                                 | 04                 | Nipun R Shah   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 4500                        | 10                          |
| 29      | 22.05.2019                                 | 03                 | Pinal N Shah   | 31                 | Vidhi Dishant Parikh                                    | Equity           | 2400                        | 10                          |
| 30      | 22.05.2019                                 | 03                 | Pinal N Shah   | 32                 | Jainam Milan Parikh                                     | Equity           | 7400                        | 10                          |
| 31      | 22.05.2019                                 | 03                 | Pinal N Shah   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 39200                       | 10                          |
| 32      | 22.05.2019                                 | 20                 | Nipun R Shah (HUF)                                   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise)       | Equity           | 4500                        | 10                          |

**JAINAM SHARE CONSULTANTS PRIVATE LIMITED**

**CIN: U67120GJ2003PTC043162**

Details of shares / debentures transfers since the close of last financial year  
[or in the case of the first return at any time since the incorporation of the Company.]

Date of closure of Previous financial year

**31/03/2019**

Date of closure of Current financial year

**31/03/2020**

| Sr. No. | Date of Registration of Transfer of Shares | L.F. of Transferor | Transferor's Name  | L.F. of Transferee | Transferee's Name                                 | Type of Security | Number of Shares/ debenture | Nominal Value (each in Rs.) |
|---------|--|--------------------|--------------------|--------------------|---|------------------|-----------------------------|-----------------------------|
| 33      | 22.05.2019                                 | 21                 | Bhavya Nipun Shah  | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise) | Equity           | 35600                       | 10                          |
| 34      | 22.05.2019                                 | 22                 | Zeal Nipun Shah    | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise) | Equity           | 33200                       | 10                          |
| 35      | 22.05.2019                                 | 23                 | Manish Rasik Shah  | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise) | Equity           | 27500                       | 10                          |
| 36      | 22.05.2019                                 | 24                 | Kamini Manish Shah | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise) | Equity           | 11800                       | 10                          |
| 37      | 12.02.2020                                 | 07                 | Bhartiben J Shah   | 33                 | Bharat Parikh (on behalf of Prarthana Enterprise) | Equity           | 11145                       | 10                          |

For Jainam Share Consultants Private Limited

*M.S. Parikh*

*Vidhi Parikh*

**Milan Parikh**  
Director  
DIN: 00085061

**Vidhi**  
Director  
DIN: 07788145





**PRERNA SHARMA**  
*Practicing Company Secretary*

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**FORM NO. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

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We have examined the registers, records and books and papers of **JAINAM SHARE CONSULTANTS PRIVATE LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31<sup>st</sup> March, 2020**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. its status under the Act;
  2. maintenance of registers / records & making entries therein within the time prescribed therefor;
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
  4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book / registers maintained for the purpose and the same have been signed;



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Address : Flat No. 603, Shashwat Complex, Opp. Honey Park Society, Honey Park Road, Adajan , Surat – 395 009  
Contact : (M) +91- 9726013226 E - Mail [prernasharma09@gmail.com](mailto:prernasharma09@gmail.com)



**PRERNA SHARMA**  
*Practicing Company Secretary*

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5. Closure of Register of Members / Security holders, as the case may be. – Not applicable as the company is a private limited company.
6. Advances/ loans to its directors and /or persons or firms or companies referred in section 185 of the Act; - Not applicable as no such event occurred during the year.
7. contracts/ arrangements with related parties as specified in section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances; During the year under review, transfer of shares have been undertaken via gift and/ or share purchase agreement and all the compliances has been done as per the Act.
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - Not applicable as no such event occurred during the year.
10. The company has declared and paid dividend;
11. transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; - Not applicable during the year.
12. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
13. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them; there have been appointments/ resignation of directors during the F.Y. 2019-20.
14. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
15. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
16. acceptance/ renewal/ repayment of deposits; - Not applicable as no such event occurred during the year.

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Address : Flat No. 603, Shashwat Complex, Opp Honey Park Society, Honey Park Road, Adajan , Surat – 395 009  
Contact : (M) +91- 9726013226





**PRERNA SHARMA**  
*Practicing Company Secretary*

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17. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
18. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
19. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; Not applicable as no such event occurred during the year

*Prerna*

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Prerna Sharma  
Company Secretary  
Mem No.: 43844  
C.P. No.: 16537  
UDIN: A043844B003703269



**Date: 26.02.2021**  
**Place: Surat**

*Note: We have verified the form for limited angle for filling the annual return in Form MGT-7 by the company. Our certification of the form is limited to that extent only. Doing all the compliances under the act is responsibility of the management. There are chances that all supporting forms and documents those are required to be filed with the ROC office may not be filed by the company.*